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| PPLICATION NO.                                    | FILING DATE     | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.                       | CONFIRMATION NO |
|---|-----------------|----------------------|---|-----------------|
| 09/911,496  | 07/25/2001      | Robert J. Higgins    | 211897US99 4428                           |                 |
| 22850 7   | 7590 09/19/2005 |                      | EXAMINER                                  |                 |
| OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C. |                 |                      | BOOTH, RICHARD A                          |                 |
| 1940 DUKE STREET<br>ALEXANDRIA, VA 22314          |                 |                      | ART UNIT                                  | PAPER NUMBER    |
|   | ,               |                      | 2812                                      |                 |
|   |                 |                      | D. 1773 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 |                 |

Please find below and/or attached an Office communication concerning this application or proceeding.

|   | Application No.   | Applicant(s)   | _ |
|---|---|--|---|
|   | 09/911,496  | HIGGINS ET AL.   |   |
| Office Action Summary   | Examiner  | Art Unit   |   |
|   | Richard A. Booth  | 2812   |   |
| The MAILING DATE of this communication app<br>Period for Reply  | ears on the cover sheet with the c  | orrespondence address  |   |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period was a Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNICATION  16(a). In no event, however, may a reply be tin  11 apply and will expire SIX (6) MONTHS from  12 cause the application to become ABANDONE | N. nely filed the mailing date of this communication. D (35 U.S.C. § 133). |   |
| Status  |   |  |   |
| 1) Responsive to communication(s) filed on 7/5/0  | 5.  |  |   |
| ·— ·  | -<br>action is non-final.   |  |   |
| 3) Since this application is in condition for allowar   | ice except for formal matters, pro  | secution as to the merits is   |   |
| closed in accordance with the practice under E  | x parte Quayle, 1935 C.D. 11, 45  | 53 O.G. 213.   |   |
| Disposition of Claims   |   |  |   |
| 4)⊠ Claim(s) <u>1,3-6 and 9-15</u> is/are pending in the a  | pplication.   |  |   |
| 4a) Of the above claim(s) is/are withdraw   | vn from consideration.  |  |   |
| 5) Claim(s) is/are allowed.   |   |  |   |
| 6) Claim(s) is/are rejected.  |   |  |   |
| 7) Claim(s) is/are objected to.   |   |  |   |
| 8) Claim(s) <u>1,3-6 and 9-15</u> are subject to restriction  | on and/or election requirement.   |  |   |
| Application Papers  |   |  |   |
| 9)☐ The specification is objected to by the Examine   | r.  |  |   |
| 10)☐ The drawing(s) filed on is/are: a)☐ acce   | · · · · · · · · · · · · · · · · · · ·   |  |   |
| Applicant may not request that any objection to the   |   |  |   |
| Replacement drawing sheet(s) including the correct  |   |  |   |
| 11) The oath or declaration is objected to by the Ex  | aminer. Note the attached Oπice   | Action of form P1O-152.  |   |
| Priority under 35 U.S.C. § 119  |   |  |   |
| 12) ☐ Acknowledgment is made of a claim for foreign a) ☐ All b) ☐ Some * c) ☐ None of:  | priority under 35 U.S.C. § 119(a  | )-(d) or (f).  |   |
| <ol> <li>Certified copies of the priority documents</li> </ol>  |   |  |   |
| 2. Certified copies of the priority documents   |   |  |   |
| 3. Copies of the certified copies of the prior  | ·   | ed in this National Stage  |   |
| application from the International Bureau   |   |  |   |
| * See the attached detailed Office action for a list  | of the certified copies not receive   | ea.  |   |
| AM-16-1-1-14-1  |   |  |   |
| Attachment(s)  1) Notice of References Cited (PTO-892)  | 4) Interview Summary  | (PTO-413)  |   |
| 2) Notice of Praftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail D  | ate  |   |
| 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date  | 5) Notice of Informal F<br>6) Other:  | Patent Application (PTO-152)   |   |
| · >p- · · · · · (-) · · · · · · · · · · · · · · · · · · ·   | -,  |  |   |

## **DETAILED ACTION**

Upon reconsideration of the application, an election of species requirement is deemed necessary.

## Election/Restrictions

This application contains claims directed to the following patentably distinct species of the claimed invention: a first group containing a first species directed to a piezoelectric material of lithium niobate, a second species directed to a piezoelectric material of lithium tantalate, and a third species directed to a piezoelectric material of metallic oxide, and a second group containing a first species directed to an active device and a second species directed to a passive device. Note that an election must be made from both the first and second groups.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, claims 1, 6, 9, and 15 are generic.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims

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are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Richard A. Booth whose telephone number is (571) 272-1668. The examiner can normally be reached on Monday-Thursday from 7:30-6:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Lebentritt can be reached on (571) 272-1873. The fax phone

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number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Richard A. Booth Primary Examiner Art Unit 2812

September 6, 2005